

1       **INSERTING AND DETECTING WATERMARKS IN IMAGES DERIVED FROM A**  
2       **SOURCE IMAGE**

3       **FIELD OF THE INVENTION**

4       This application is directed to the field of digital imaging. It  
5       is more specifically concerned with the insertion of identifying  
6       marks in a source digital image, and the detection of those same  
7       identifying marks in a set of images of different sizes that are  
8       derived from the source digital image.

9       **BACKGROUND OF THE INVENTION**

10      Various invisible watermarking techniques are known to those  
11     skilled in the art. Each technique generally has different  
12     advantages and satisfies different levels of robustness, security  
13     and adaptability. Many of these employ particular algorithms in  
14     determining how the pixel data of pixels in the unmarked source  
15     digital image is to be modified in order to include the  
16     particular watermark. In general, each watermark inserting  
17     technique has a corresponding watermark detecting technique. The  
18     common feature of most of these techniques is that the pixel  
19     data, or the resulting pel data, is ultimately modified in a  
20     particular way that is intended to make the modification unseen.

21      It is a constant endeavor to find improved techniques of placing  
22     invisible identifying marks, herein called watermarks, into a  
23     digital image. The ability to detect the presence of watermarks  
24     in a digital image is generally useful to help establish

1 ownership, origin and authenticity, and also to discourage those  
2 who might wish to misappropriate the work. Identifying marks are  
3 also useful to give evidence of unauthorized disclosure.  
4 Heretofore watermarking methods have been concerned with  
5 inserting a watermark into a *digital image* after it is enlarged  
6 or reduced in size, herein called *resizing*, for presentation. For  
7 an inserted watermark to be subsequently detected, many image  
8 watermarking methods require that every copy of a watermarked  
9 digital image must be restored to its presentation size so a  
10 one-to-one pixel position correlation with elements in a  
11 watermarking plane can be achieved before detection is attempted.  
12 If a derived image is not resized correctly and its pixel's  
13 positions are not correlated one-to-one with elements of its  
14 appropriate watermarking plane, watermark detection will fail.

15 **SUMMARY OF THE INVENTION**

16 Thus, the present invention provides a watermarking technique  
17 whereby a watermark is inserted into a digital image that is  
18 bounded by a specific *bounding rectangle*. If the source image is  
19 larger horizontally and/or vertically than the bounding  
20 rectangle, it is reduced in the horizontal dimension by a  
21 horizontal factor and in the vertical dimension by a vertical  
22 factor until it lies totally within the bounding rectangle with  
23 at least one pair of its parallel edges touching parallel edges  
24 of the bounding rectangle. If the source image is smaller  
25 horizontally and vertically than the bounding rectangle, it is  
26 enlarged by a horizontal factor and vertical factor in horizontal  
27 and vertical dimension until at least one pair of its parallel  
28 edges touch parallel edges of the bounding rectangle.  
29 In an advantageous embodiment the horizontal factor and vertical

1 factors are equal. If they differ at all, the difference must be  
2 small to avoid distorting the appearance of the image. Thus, if  
3 the source image is larger horizontally and/or vertically than  
4 the bounding rectangle, it is reduced in both horizontal and  
5 vertical dimensions by a common factor until it lies totally  
6 within the bounding rectangle with at least one pair of its  
7 parallel edges touching parallel edges of the bounding rectangle.  
8 If the source image is smaller horizontally and vertically than  
9 the bounding rectangle, it is enlarged by a common factor in  
10 horizontal and vertical dimension until at least one pair of its  
11 parallel edges touch parallel edges of the bounding rectangle.  
12 (Regardless of the shape of the source image, the resized image  
13 is the largest image that will fit entirely within the bounding  
14 rectangle.) The reduced or enlarged image, or the source image if  
15 resizing is not needed, is called an *adjusted image*. Then,  
16 regardless of the size of an image derived from the watermarked  
17 adjusted image, enlarging or reducing the derived image to touch  
18 at least one pair of parallel edges of the specific bounding  
19 rectangle, and with the resized image contained entirely within  
20 the bounding rectangle, greatly facilitates detection of the  
21 imbedded watermark.

22 It is an aspect of the present invention to provide methods,  
23 systems and apparatus for resizing a source image so that the  
24 resized image lies entirely within a specified bounding  
25 rectangle, with at least one pair of its parallel edged touching  
26 parallel edges of the bounding rectangle.

27 Another aspect of the present invention provides methods, systems  
28 and apparatus for inserting an invisible watermark into the  
29 adjusted image. After watermark insertion into an adjusted image,  
30 the present invention includes forming at least one derived image  
31 by further resizing of the watermarked adjusted image.

1 In another aspect of the present invention, the size of the  
2 bounding rectangle chosen may be specific to each source image,  
3 or, conversely, a common bounding rectangle may be used for a  
4 group of source images.

5 **BRIEF DESCRIPTION OF THE DRAWINGS**

6 These and other aspects, features, and advantages of the present  
7 invention will become apparent upon further consideration of the  
8 following detailed description of the invention when read in  
9 conjunction with the drawing figures, in which:

10 Fig. 1 shows an example of a watermarking insertion procedure in  
11 accordance with the present invention;

12 Fig. 2 shows an example of a watermarking detection procedure in  
13 accordance with the present invention; and

14 Fig. 3 shows an example of an alternative method for producing  
15 watermarked derivative images.

16 **DETAILED DESCRIPTION OF THE INVENTION**

17 The present invention provides methods, systems and apparatus for  
18 a watermarking technique whereby a watermark is inserted into a  
19 digital image that is bounded by a specific *bounding rectangle*.

20 The bounding rectangle has dimensions of  $M$  pixels wide and  $N$   
21 pixels high. If the source image is larger horizontally and/or  
22 vertically than the bounding rectangle, it is reduced in both  
23 horizontal and vertical dimensions by horizontal and vertical

1 factors, or by a common factor, until it lies totally within the  
2 bounding rectangle with at least one pair of its parallel edges  
3 touching parallel edges of the bounding rectangle. If the source  
4 image is smaller horizontally and vertically than the bounding  
5 rectangle, it is enlarged by a common factor in horizontal and  
6 vertical dimension until at least one pair of its parallel edges  
7 touch parallel edges of the bounding rectangle. The reduced or  
8 enlarged image, or the source image if resizing is not needed, is  
9 called an *adjusted image*. Then, regardless of the size of an  
10 image derived from the watermarked adjusted image, enlarging or  
11 reducing the derived image to touch at least one pair of parallel  
12 edges of the specific bounding rectangle, and with the resized  
13 image contained entirely within the bounding rectangle,  
14 facilitates detection of the imbedded watermark.

15 In a particular embodiment, the present invention provides  
16 methods, systems and apparatus for resizing a source image so the  
17 resized image lies entirely within a specified bounding rectangle  
18 with at least one pair of its parallel edged touching parallel  
19 edges of the bounding rectangle. The image so produced is called  
20 an adjusted image. There are many methods for resizing digital  
21 images known to those skilled in the art. Nearly any one of them  
22 may be used for resizing purposes providing the chosen method  
23 preserves the ratio of image width to image height.

24 The present invention employs methods for inserting an invisible  
25 watermark into the adjusted image. After watermark insertion into  
26 an adjusted image, the present invention provides methods,  
27 systems and apparatus for forming at least one derived image by  
28 further resizing of the watermarked adjusted image.

29 In a general embodiment, the size of the bounding rectangle  
30 chosen may be specific to each source image, or, conversely, a

1 common bounding rectangle may be used for a group of source  
2 images. Generally, there is limitation of the useful range of the  
3 resizing factor, which relates the dimensions of the smallest  
4 desired derivative image and the dimensions of the bounding  
5 rectangle. A value of the resizing factor that is less than 0.1  
6 makes the probability of detecting the imbedded watermark small.  
7 However, since detection is a probabilistic event dependent on  
8 the watermarking method chosen and on the image being  
9 watermarked, for some cases this factor can be still smaller. A  
10 usually useful resizing factor is greater than 0.125, for the  
11 watermarking method in the example embodiment.

12 The present invention is adaptable for use with any of many  
13 watermarking techniques. It is most particularly adaptable to a  
14 watermarking technique employing a watermarking plane. Thus,  
15 although the present invention is adaptable to many watermarking  
16 techniques, it is most easily described and adaptable to the  
17 watermark inserting and detecting methods described in US Patent  
18 5,530,759 and US Patent 5,825,892 which are herein included by  
19 reference in entirety for all purposes.

20 There are advantages to inserting a watermark into a digital  
21 image, or a set of digital images, at a common size before it is  
22 resized to its presentation size. In this case, any candidate  
23 watermarked image can be restored to the common size and a  
24 detection may be attempted on that restored-size image. If the  
25 converse is true, the candidate image must be restored to each of  
26 the presentation sizes prepared from its source image and  
27 detection must be attempted at each of the presentation sizes. If  
28 the system produces  $n$  presentation-sized versions of the source  
29 image, on average half that number,  $n/2$ , detections would be  
30 required. If the system produces presentation images at a very  
31 large number of resolutions, as would be done with a

1 "continuously-variable" zoom, the number of detections required  
2 for a candidate image would be very large.

3 Invisible marks are herein classified relative to the appearance  
4 of that mark to a human being with normal visual acuity. A mark  
5 inserted into an image is classified as having an invisibility  
6 classification level of *undetectably invisible* if, when the image  
7 without the marking is displayed together with an image copy with  
8 the marking, the human being is equally likely to select either  
9 of these copies as an unmarked copy. An undetectably invisible  
10 mark is below or at the human being's threshold of  
11 just-noticeable difference. A mark on an image is classified as  
12 having an invisibility classification level of *subliminally*  
13 *invisible* if the mark is not distracting to the human being,  
14 although it is above the human being's threshold of  
15 just-noticeable difference. An image marking is classified as  
16 being *marginally invisible* if it does not cause the marked image  
17 to lose its usefulness or value because of the mark. An image  
18 marking is classified as being *poorly invisible* if the marking is  
19 relatively obvious or distracting, and causes a reduction in the  
20 image's usefulness and/or value.

21 Presently, invisible markings of digital images are used as a  
22 generally dependable method of establishing evidence of ownership  
23 and authenticity. A digital image is an abstraction of a physical  
24 image that has been scanned or artificially created and stored in  
25 a computer's memory as rectangular arrays of numbers  
26 corresponding to that image's (one or more) color planes. Each  
27 array element corresponds to a very small area of the physical  
28 image and is called a picture element, or *pixel*. The numeric  
29 value associated with each pixel for a monochrome image  
30 represents the magnitude of its average brightness of its single  
31 color (for example, black and white) plane. For a color image,

1 each pixel of the digital image has values associated and  
2 representing the magnitudes of average brightness of its color  
3 components represented in its three or more color planes.

4 Whenever reference is made herein to color planes, it is  
5 understood to include any number of color planes used by a  
6 particular image's digitizing technique to define the pixel's  
7 color characteristics. This includes the case when there is only  
8 a single plane defining a monochromatic image. Pixel values have  
9 a magnitude represented by at least one binary digit or bit.

10 Generally, a digital image is recognizable as an image to a  
11 viewer only when the individual pixels are displayed as dots of  
12 white or colored light on a display, or as dots of black or  
13 colored inks on paper. Pixels are normally spaced so closely as  
14 to be not resolvable by a human visual system. This results in  
15 the fusion of neighboring pixels by the human visual system into  
16 a representation of the original physical image. Image fusion by  
17 the human visual system makes invisible marking, or relatively  
18 invisible marking, of images possible. This property is fully  
19 exploited by the methods described here to both insert an  
20 invisible watermark into a digital image or digital image to a  
21 desired invisibility classification, and to subsequently  
22 demonstrate its existence. The inserting and demonstrated  
23 detection of a robust invisible marking on digital and printed  
24 digital images called hard copy images, herein called invisible  
25 watermarking, are primary aspects of the present invention.

26 A proper invisible watermarking technique that inserts an  
27 invisible watermark into a digital image should satisfy several  
28 properties. The inserted watermark should appear to be invisible  
29 to any person having normal or corrected to normal visual  
30 accommodation to a desired invisibility classification level.

1 Clearly, the degree of marking is a dichotomy. A balance has to  
2 be struck between protecting the image from unauthorized uses and  
3 not having the watermark unpleasantly alter the appearance of the  
4 image. This generally means that a recognizable pattern should  
5 not be apparent in the marked image when the watermark is applied  
6 to a uniformly colored plane. This requirement discourages  
7 marking the image by varying the hue of its pixels, since the  
8 human visual system is significantly more sensitive to  
9 alterations in hue than in brightness. The requirement can be  
10 satisfied by a technique based on varying picture element  
11 brightness implemented in a proper way. A technique based on  
12 varying picture element brightness also allows the same marking  
13 technique applied to color images to be equally applicable to  
14 monochrome images.

15 Another property of a proper invisible watermarking technique is  
16 that it should have a detection scheme such that the probability  
17 of a false-positive detection, that is, the false detection of a  
18 mark when one, in fact, does not exist, is very small. For  
19 purposes of the present invention, the probability of detection  
20 of a watermark in an image when one does not exist should be less  
21 than one in a million. There is generally little difficulty  
22 satisfying this requirement when the technique is statistically  
23 based.

24 Still another property of a proper watermarking technique is that  
25 it should be possible to vary the degree of marking applied to an  
26 image. In this way, the watermark can be made as detectable as  
27 necessary by the particular application. This property is  
28 important in highly textured images where it is often necessary  
29 to increase the intensity of the mark to increase its likelihood  
30 of detection. This is in contradistinction with images that have  
31 low contrast in which it is advantageous to reduce the marking

1 intensity to lessen undesirable visible artifacts of the  
2 watermark itself.

3 Finally, the inserted watermark should be robust in that it  
4 should be very difficult to be removed or rendered undetectable.  
5 It should survive such image manipulations that in themselves do  
6 not damage the image beyond usability. This includes, but is not  
7 limited to, JPEG "lossy" compression, image rotation, linear or  
8 nonlinear resizing, brightening, sharpening, "despeckling," pixel  
9 editing, color-space conversion, the malicious superposition of a  
10 correlated or uncorrelated noise field upon the digital image,  
11 and its subsequent conversion to halftone and printing. Attempts  
12 to defeat or remove the watermark should be generally more  
13 laborious and costly than purchasing rights to use the image. If  
14 the image is of rare value, it is desirable that the watermark be  
15 so difficult to remove that telltale traces of it can almost  
16 always be recovered.

17 It will be clear to those skilled in the art that other  
18 modifications to the disclosed embodiments can be effected  
19 without departing from the spirit and scope of the invention. The  
20 embodiments described below, ought to be construed to be merely  
21 illustrative of some of the more prominent features and  
22 applications of the invention. Other beneficial results can be  
23 realized by applying the disclosed invention in a different  
24 manner or modifying the invention in ways known to those familiar  
25 with the art.

26 Referring to Figure 1, the watermarking procedure described so  
27 far may be viewed as first providing a monochrome or color  
28 digital source image (101), specifying the dimensions of a  
29 desired bounding rectangle (103) into which the source image will  
30 be enlarged or reduced to fit. If the source image is larger

1 horizontally and/or vertically than the bounding rectangle, it is  
2 reduced in both horizontal and vertical dimensions by a common  
3 first factor **f1**, which is less than 1, until it lies totally  
4 within the bounding rectangle with at least one pair of its  
5 parallel edges touching parallel edges of the bounding rectangle.  
6 If the source image is smaller horizontally and vertically than  
7 the bounding rectangle, it is enlarged in horizontal and vertical  
8 dimension by the common factor **f1**, which is greater than 1, until  
9 at least one pair of its parallel edges touch parallel edges of  
10 the bounding rectangle (105). The reduced or enlarged source  
11 image, or the source image itself if resizing is not needed, is  
12 called an *adjusted image*. An invisible watermark is then imbedded  
13 into that adjusted image (107). Finally, the watermarked adjusted  
14 image is resized by a second factor, **f2**. There can be as many  
15 different values of **f2** as desired, with each different value  
16 producing a differently sized derivative image (109).

17 Detecting the watermark in a candidate image, regardless of the  
18 size of the candidate image, becomes a common procedure.  
19 Referring to Figure 2, the dimensions of the bounding rectangle  
20 used produce the resized source image to which the candidate  
21 image corresponds are recalled (201). The candidate image is  
22 resized, if necessary, to form a resized candidate image that is  
23 as large as will fit into the recalled bounding rectangle, having  
24 at least one pair of the resized candidate image's parallel edges  
25 touching a pair of parallel edges of the recalled bounding  
26 rectangle (203). The watermark suspected of being imbedded in the  
27 candidate image is recalled or reproduced (205), and an attempt  
28 is made to detect the recalled or reproduced watermark in the  
29 resized candidate image (207).

30 One skilled in the art will recognize that, alternatively, the  
31 reduction steps in the process may be rearranged to produce an

1 equivalent watermarked image of the same size. Referring to  
2 Figure 3, those rearranged steps require first providing a  
3 monochrome or color digital source image (301), specifying the  
4 dimensions of a desired bounding rectangle (303), determining the  
5 resizing factor **f1** that, if it were to be applied, would resize  
6 the source image to fit into the bounding rectangle (305);  
7 resizing the source image by the combined factor **f1** times **f2** to  
8 form an alternative adjusted image (307); resizing the  
9 watermarking plane by the factor **f2** to form an adjusted watermark  
10 (309); and lastly, inserting the adjusted watermark into the  
11 alternative adjusted image to form a prototype watermarked image  
12 (311). As many individual derivative images of different sizes  
13 may then be made by resizing the prototype watermarked image  
14 (313).

15 Thus the present invention includes a method including the steps  
16 of: obtaining a digitized image to be protected by a watermark;  
17 specifying a digitized bounding rectangle having known horizontal  
18 and vertical dimensions; forming a resized image by resizing the  
19 horizontal and vertical dimensions of the image by a horizontal  
20 factor and a vertical factor, or a common factor, so that the  
21 resized image is a largest replica of the digitized image fitting  
22 entirely within the bounding rectangle; and imbedding the  
23 watermark into the resized image to form a watermarked image.

24 In some embodiments the common factor is greater than 0.1. In  
25 some embodiments, the method includes forming at least one  
26 derivative copy of the watermarked image. Each copy preserves the  
27 ratio of horizontal dimension to vertical dimension as nearly as  
28 practicable.

29 The present invention also includes a method for inserting a  
30 watermark into at least one derivative image, including the steps

1 of; providing a source digital image having at least one image  
2 plane, each image plane being represented by an array having  
3 pixel brightness data for a plurality of pixels, each of the  
4 pixels having at least one color component and having a pixel  
5 position; specifying horizontal and vertical dimensions of a  
6 bounding rectangle; resizing the source image by enlargement or  
7 reduction of its horizontal and vertical dimensions by a common  
8 factor to form an adjusted image so that the resized image is a  
9 largest replica of the digitized image fitting entirely within  
10 the bounding rectangle; inserting into the adjusted digital image  
11 an invisible image watermark; and producing at least one derived  
12 image by resizing the watermarked adjusted image.

13 The present invention also includes a method for inserting a  
14 watermark into at least one derived image, including the steps  
15 of: providing a source digital image having at least one image  
16 plane, each image plane being represented by an array having  
17 pixel brightness data for a plurality of pixels, each of the  
18 pixels having at least one color component and having a pixel  
19 position; specifying the horizontal and vertical dimensions of a  
20 bounding rectangle; determining an enlargement or reduction first  
21 factor  $f_1$  that, if applied, would resize the source image by  
22 enlargement or reduction of its horizontal and vertical  
23 dimensions so that the resized image is a largest replica of the  
24 digitized image fitting entirely within the bounding rectangle;  
25 forming an adjusted factor  $f_2$ ; resizing the source image by  
26 reduced by a second factor  $f_2$ ; resizing the source image by  
27 enlargement or reduction of its horizontal and vertical  
28 dimensions by a combined common factor,  $f_1$  times  $f_2$ , to form an  
29 alternative adjusted digital image. In some embodiments, the  
30 method includes inserting the adjusted image watermark  
31 into the alternative adjusted digital image, and/or the factor  $f_2$   
32 is greater than 0.1.

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1 The present invention also includes a method for detecting a  
2 watermark imbedded in a candidate image employing a bounding  
3 rectangle, including the steps of: recalling dimensions of the  
4 bounding rectangle used to produce a resized source image from  
5 which the candidate image was produced; forming a resized image  
6 by resizing the horizontal and vertical dimensions of the  
7 candidate image by a common factor so the resized image is the  
8 largest replica of the candidate image fitting entirely within  
9 the bounding rectangle; reproducing the watermark suspected of  
10 being in the candidate image; and attempting detection of the  
11 watermark in the resized image. In some embodiments, the method  
12 further includes employing results obtained from the step of  
13 attempting, and/or determining if the candidate is a derivative  
14 copy of the source image.

15 Variations described for the present invention can be realized in  
16 any combination desirable for each particular application. Thus  
17 particular limitations, and/or embodiment enhancements described  
18 herein, which may have particular advantages to the particular  
19 application need not be used for all applications. Also, not all  
20 limitations need be implemented in methods, systems and/or  
21 apparatus including one or more concepts of the present  
22 invention. The invention also includes apparatus for implementing  
23 steps of method of this invention.

24 The present invention can be realized in hardware, software, or a  
25 combination of hardware and software. An image resizing tool and  
26 a watermark detection tool according to the present invention can  
27 be realized in a centralized fashion in one computer system, or  
28 in a distributed fashion where different elements are spread  
29 across several interconnected computer systems. Any kind of  
30 computer system - or other apparatus adapted for carrying out the

1 methods and/or functions described herein - is suitable. A  
2 typical combination of hardware and software could be a general  
3 purpose computer system with a computer program that, when being  
4 loaded and executed, controls the computer system such that it  
5 carries out the methods described herein. The present invention  
6 can also be embedded in a computer program product, which  
7 comprises all the features enabling the implementation of the  
8 methods described herein, and which - when loaded in a computer  
9 system - is able to carry out these methods.

10 Computer program means or computer program in the present context  
11 include any expression, in any language, code or notation, of a  
12 set of instructions intended to cause a system having an  
13 information processing capability to perform a particular  
14 function either directly or after conversion to another language,  
15 code or notation; and/or reproduction in a different material  
16 form.

17 Thus the invention includes an article of manufacture which  
18 comprises a computer usable medium having computer readable  
19 program code means embodied therein for causing a function  
20 described above.. The computer readable program code means in the  
21 article of manufacture comprises computer readable program code  
22 means for causing a computer to effect the steps of a method of  
23 this invention. Similarly, the present invention may be  
24 implemented as a computer program product comprising a computer  
25 usable medium having computer readable program code means  
26 embodied therein for causing a function described above. The  
27 computer readable program code means in the computer program  
28 product comprising computer readable program code means for  
29 causing a computer to effect one or more functions of this  
30 invention. Furthermore, the present invention may be implemented  
31 as a program storage device readable by machine, tangibly

1 embodying a program of instructions executable by the machine to  
2 perform method steps for causing one or more functions of this  
3 invention.

4 It is noted that the foregoing has outlined some of the more  
5 pertinent objects and embodiments of the present invention. This  
6 invention may be used for many applications. Thus, although the  
7 description is made for particular arrangements and methods, the  
8 intent and concept of the invention is suitable and applicable to  
9 other arrangements and applications. It will be clear to those  
10 skilled in the art that modifications to the disclosed  
11 embodiments can be effected without departing from the spirit and  
12 scope of the invention. The described embodiments ought to be  
13 construed to be merely illustrative of some of the more prominent  
14 features and applications of the invention. Other beneficial  
15 results can be realized by applying the disclosed invention in a  
16 different manner or modifying the invention in ways known to  
17 those familiar with the art.